DT07 Rec'd PCT/PTO 1 0 DEC 2004

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0851-0021
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TRANSMITTAL LETTER TO THE UNITED STATES	ATTTORNEY'S DOCKET NUMBER							
DESIGNATED/ELECTED OFFICE (DO/EO/US)	SPM-384-A. U.S. APPINCATION NO. (#known.see.37 CFR 1.5)							
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371	10/518111							
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP03/05830 June 4, 2003	PRIORITY DATE CLAIMED June 12, 2002							
TITLE OF INVENTION CALIXARENES FOR USE AS EXCIPIENT FOR AN ACTIVE								
APPLICANT(S) FOR DO/EO/US Hans-Uwe Wolf and Jöég Marrin Dormann								
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.								
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
3. This is an express request to begin national examination procedures (35 U.S.C. 37 (5), (6), (9) and (21) indicated below.	71(f)). The submission must include items							
4. The US has been elected (Article 31).								
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
a. $\overline{\mathbf{X}}$ is attached hereto (required only if not communicated by the Internatio	a. X is attached hereto (required only if not communicated by the International Bureau).							
b. has been communicated by the International Bureau.	b. has been communicated by the International Bureau.							
c. is not required, as the application was filed in the United States Received.	c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
a. is attached hereto.	a. is attached hereto.							
b. has been previously submitted under 35 U.S.C. 154(d)(4).	b. has been previously submitted under 35 U.S.C. 154(d)(4).							
7. Amendments to the claims of the International Application under PCT Article 19	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
a. are attached hereto (required only if not communicated by the International Bureau).								
b. have been communicated by the International Bureau.								
c. Land have not been made; however, the time limit for making such amend	c. have not been made; however, the time limit for making such amendments has NOT expired.							
d. have not been made and will not be made.								
8. An English language translation of the amendments to the claims under PCT A	rticle 19 (35 U.S.C. 371(c)(3)).							
9. XX An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigne	d)							
10. An English language translation of the annexes of the International Preliminary E Article 36 (35 U.S.C. 371(c)(5)).	Examination Report under PCT							
Items 11 to 20 below concern document(s) or information included:								
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.								
12. An assignment document for recording. A separate cover sheet in compliance w	ith 37 CFR 3.28 and 3.31 is included.							
13. A preliminary amendment.	"							
14. An Application Data Sheet under 37 CFR 1.76.								
15. A substitute specification.								
16. A power of attorney and/or change of address letter.								
17. A computer-readable form of the sequence listing in accordance with PCT Rule	13 <i>ter.</i> 2 and 37 CFR 1.821- 1.825.							
18. A second copy of the published International Application under 35 U.S.C. 154(d)	0(4).							
19. A second copy of the English language translation of the international application	n under 35 U.S.C. 154(d)(4).							
20. Other items or information:								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER					
10/518111 PCT/EP03/05830		SPM-384-A					
21. The following fees are submitted:			3700				
X a) Basic na	itional fee	• • • • • • • • • • • • • • • • • • • •		\$300.00	\$ 300	00	
b) Examination fee\$200.00			\$ 200	00 .			
c) Search f	ee			\$500.00	\$ 500	00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00				\$ 1000	00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE			
- 100 =	/50 =			x \$250.00	\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$ 130	00	
CLAIMS	NUMBER FIL	.ED	NUMBER EXTRA	RATE	\$	*	
Total claims	9	- 20 =	· ·	x \$50.00	\$ 00	00	
Independent cla	ims	- 3 =		× \$200.00	\$		
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)		+ \$360.00	\$		
			TOTAL OF ABOVE CA		\$ 1130	00	
Applicant of by 1/2.	claims small entity status.	See 37 CFR	1.27. The fees indicated abov	e are reduced	^{\$} 565	00	
				SUBTOTAL =	\$ 5.65	0.0	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$ 130	00 ·			
TOTAL NATIONAL FEE =			\$ 695.	00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$		
TOTAL FEES ENCLOSED =					\$ 695	00	
				Amount to be refunded:	\$		
				Amount to be charged:	\$		
a. X A chec	ck in the amount of \$ _60	25.00	to cover the above	fees is enclosed.	1		
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No.25–0115. A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
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∏ EV611723363U

December 10, 2004

Attorney's Docket: SPM-384-A

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

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Enclosed please find an application for U.S. Patent as identified below.

Inventors:

Hans-Uwe Wolf and Jörg Martin Dormann

Invention:

CALIXARENES FOR USE AS EXCIPIENT FOR AN ACTIVE

SUBSTANCE

and including: Postcard, Transmittal Letter to the United States

Designated/Elected Office (PTO Form 1390); a copy of International Patent Application

PCT/EPO3/05830 in the German language; an unsigned Combined Declaration and Power of Attorney; and an Application Data Sheet.

Filing Fee:

\$695.00

[X] Applicant(s), and the Assignee (if applicable), hereby assert a claim to small entity status under 37 CFR 1.9 et. seq.

Please charge any deficiency or credit any excess in the enclosed fees to Deposit Account No. 25-0115.

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